

1 RUNNING HEAD: Alcohol and Cognitive Change

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6 **Alcohol effects on Cognitive Change in Middle-Aged and Older Adults**

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## Alcohol effects on Cognitive Change in Middle-Aged and Older Adults

### Abstract

**Objectives:** This study examines cognitive outcomes for alcohol drinking status over time, across cognitive ability and age groups. **Methods:** Data (1998-2005) from N=571 Seattle Longitudinal Study participants age 45+years (middle-aged: 45-64, young-old: 65-75, old-old: 75+) were analyzed to examine the alcohol drinking status effect (e.g. abstinent, moderate ( $\leq 7$  drinks/week), at-risk ( $\geq 8$  drinks/week)) on cognitive ability (e.g., Memory, Reasoning, Spatial, Verbal Number, Speed abilities). **Results:** Findings indicated that alcohol drinking status was associated with change in verbal ability, spatial ability, and perceptual speed. Decline in verbal ability was seen among alcohol abstainers and moderate alcohol consumers, but at-risk drinkers displayed relative stability. At-risk old-old adults and middle-aged adults (regardless of drinking status), displayed relative stability in spatial ability. Decline in spatial ability was however present among young-old adults across drinking status, and among abstaining and moderate drinking old-old adults. At-risk drinkers showed the most positive spatial ability trajectory. A gender effect in perceptual speed was detected, with women who abstained from drinking displaying the most decline in perceptual speed compared with women that regularly consumed alcohol, and men displaying decline in perceptual speed across drinking status. **Discussion:** In this study, consuming alcohol is indicative of cognitive stability. This conclusion should be considered cautiously, due to study bias created from survivor effects, analyzing two time points, health/medication change status, and overrepresentation of higher socioeconomic status and white populations in this study. Future research needs to design studies that can make concrete recommendations about the relationship between drinking status and cognition.

**Key words:** alcohol, cognition, aging

35 **Alcohol effects on Cognitive Change in Middle-Aged and Older Adults**

36 **INTRODUCTION**

37 Adults are recommended to reduce alcohol consumption as they age. This  
38 recommendation stems from a decrease in endogenous water levels in which to dilute alcohol,  
39 leading to a higher blood alcohol concentration in older adults compared to younger adults after  
40 consuming the same amount of alcohol (Dufour, Archer, & Gordis, 1992). For both men and  
41 women it has been suggested that individuals aged 65 and over should, on average, consume no  
42 more than one drink a day (Blow, 2004; National Institute on Aging, 2012; National Institute on  
43 Alcohol Abuse and Alcoholism, 1992). In addition, compared to younger adults, older adults can  
44 unknowingly become more impaired when consuming similar doses of alcohol (Gilbertson,  
45 Ceballos, Prather, & Nixon, 2009).

46 Interestingly, there is evidence for alcohol having a positive effect on multiple health  
47 outcomes (Sun et al., 2011). Specifically, multiple studies have shown that moderate alcohol  
48 consumption (approximately 1 drink/day) protects cognition in aging adults, compared to  
49 abstinent or excessive alcohol usage ( Ganguli, Vanderbilt, Saxton, Shen, & Dodge,  
50 2005;Rodgers, et al, 2005). Moderate alcohol use also appears to reduce the risk of late life  
51 dementia and cognitive impairment (Chan, Chiu, & Chu, 2010; Solfrizzi et al., 2007; Weyerer et  
52 al., 2011).

53 Alcohol consumption has been speculated to affect cognition through its association with  
54 higher levels of high-density lipoprotein (HDL), increased sensitivity to insulin over time  
55 (Kiechl et al., 1998), increased cerebral blood flow (CBF; Sano et al., 1993), and evidence for an  
56 anti-inflammatory function (Albert, Glynn, & Ridker, 2003; Imhof et al., 2004). Collectively,  
57 these factors affect the risk of atherosclerosis, which is linked to progressive neurodegeneration

58 (Yi et al., 2009). Additionally, the anti-inflammatory function of alcohol can decrease chronic  
59 cerebral inflammation (McGeer & McGeer, 1999). Together, increased cerebral blood flow and  
60 decreased inflammation reduces cognitive decline through reducing the risk of vascular  
61 pathology and neurodegeneration (Panza et al., 2008).

62         Contrary to findings indicating beneficial effects of moderate alcohol consumption in  
63 older adults, excessive drinking can be very dangerous. Consuming too much alcohol can lead to  
64 accelerated neurodegeneration (Cairney, Clough, Jaragba, & Maruff, 2007; Chan et al., 2010).  
65 Excessive alcohol consumption can also have negative interactions with medications commonly  
66 used by older adults and is a major risk factor for prescription drug misuse (Culberson & Ziska,  
67 2008; McCabe, Cranford, & Boyd, 2006; Onder et al, 2002; Simoni-Wastila & Strickler, 2004).  
68 Older adults are actually hospitalized for alcohol-related complications as often as for  
69 myocardial infarctions and medication overdoses in older adults are commonly associated with  
70 alcohol (Dukes, Robinson, Thomson, & Robinson, 1992; Finkle, McCloskey, Kiplinger, &  
71 Bennett, 1976; Ødegård & Rossow, 2004). Excessive alcohol and prescription drugs can: a)  
72 undermine the treatment of existing disorders, b) lead to unintentional injuries and consequences  
73 (i.e. falls, cognitive impairment, medical complexities), c) lead to fatal alcohol-drug interactions;  
74 and d) and increase morbidity and mortality, and health costs in older adults (Barry, Gallagher, &  
75 Ryan, 2008; Finlayson, 1995; Larson, Kukull, Buchner, & Reifler, 1987; Philips, Barker, &  
76 Eguchi, 2008).

77         Another wrinkle that needs to be considered is that there is an association between  
78 gender, cognition, and alcohol consumption indicating that consuming moderate amounts of  
79 alcohol is potentially beneficial for women (Dufouil, Ducimetiere, & Alperovitch, 1997; Elias et  
80 al., 1999; Forette et al., 1998; Onland-Moret et al., 2005; Wise et al., 2001). While not fully

81 understood, gender differences in alcohol associations may be attributed to differences in  
82 hormone levels. Women who consume alcohol have elevated levels of estrogen (Gavaler &  
83 Love, 1992; Onland-Moret, Peeters, van der Schouw, Grobbee , & van Gils, 2005); estrogen has  
84 been controversially associated with cognition in women (Wise, Dubal, Wilson, Rau, & Liu,  
85 2001), but more recent hormone replacement trials have indicated an increased risk for dementia  
86 (Coker, et al., 2010). Accordingly there is a need to better understand how alcohol consumption  
87 differently affects cognition across gender.

88           Unfortunately, clear-cut alcohol consumption recommendations pertaining to cognitive  
89 outcomes cannot be made due to research being limited by several factors, such as cross-  
90 sectional designs (Cooper et al., 2009), inclusion of men only (Gross et al., 2011), inclusion of  
91 women only (Espeland et al., 2006; Stampfer et al., 2005), gender variance (findings not  
92 consistent across gender) (McGuire, Ajani, & Ford, 2007; Stott et al., 2008), global cognitive  
93 measurements (Bond et al., 2005; Galanis et al., 2000), and mixed or unsupportive findings  
94 (Panza et al, 2009; Townsend, Devore, Kang, & Grodstein, 2009). Clear evidence for alcohol  
95 and cognition guidelines should ideally be consistent across gender, across cognitive  
96 measurement, and be longitudinally based. Despite the evidence supporting moderate alcohol  
97 consumption as a means to prevent cognitive decline and dementia, relatively little is known  
98 about the effects of alcohol on specific cognitive domains (Gross et al., 2011). Given the benefits  
99 of moderate alcohol consumption and the risks of excessive drinking, the interplay among  
100 alcohol use, cognition, and aging requires further study to formulate a more crystallized  
101 understanding of the effect alcohol consumption has on cognitive health outcomes (Chiu, 2008;  
102 Panza et al, 2009; Peters, Peters, Warner, Beckett, & Bulpitt, 2008).

103           This study will advance current knowledge by considering the developmental aging  
104 perspective and examining the effects of alcohol consumption on cognition over time  
105 (longitudinally) and across age groups (Anstey, 2008; Schaie, 2009). Cognitive level differences  
106 that exist between middle age (45-65 years of age) and late life need to be better understood as  
107 indicated by age-based associations with cognition (Cherbuin et al., 2009; Järvenpää, Rinne,  
108 Koskenvuo, Riihämä, & Kaprio, 2005; Lang, Wallace, Hupper, & Melzer, 2007;). Furthermore, this  
109 study will examine effects across cognitive abilities, considering that distinct cognitive domains  
110 do not change unilaterally with aging (Schaie, 2005). For example, perceptual speed is one of the  
111 first cognitive abilities to decline, while verbal ability remains steadfast until much later in life,  
112 at which very modest declines occur. Finally, alcohol and cognition associations with gender will  
113 also be considered due to speculation about a gender-based relationship between alcohol and  
114 cognition (Dufouil et al, 1997; Elias et al., 1999; Forette et al., 1998; Onland-Moret et al., 2005;  
115 Wise et al., 2001). Accordingly, the objective of this study is to evaluate the relationship between  
116 cognitive change and alcohol consumption across abilities and age: middle-aged adults (46-64  
117 years old), young-old adults (65-74 years old), and old-old adults (75+ years of age) and gender,  
118 using a longitudinal design. Differences in cognitive change will be examined among a) alcohol  
119 abstainers, b) moderate alcohol consumers ( $\leq 7$  drinks/week), and c) at-risk alcohol consumers  
120 ( $\geq 8$  drinks/week), considering the effects of age and gender.

## 121 **METHODS**

122 The study sample (N = 571) contained adults age 45+ years participating in the 1998 and 2005  
123 waves of the Seattle Longitudinal Study (SLS). The 1998 and 2005 waves were selected because  
124 alcohol consumption patterns and cognitive data were collected simultaneously. The SLS  
125 database consists of psychological assessments conducted during seven major testing cycles

126 (1956, 1963, 1970, 1977, 1984, 1991, 1998, and 2005). Written consent was acquired during  
127 each wave with the understanding that all research data would remain unidentified.  
128 Approximately 6000 people have now participated at some time in this study. Of the original  
129 participants, 26 people remain who have now been in the study for 50 years. Current participants  
130 range in age from 22 to 101 years. Throughout its course, SLS has lost participants to attrition,  
131 resulting in the overrepresentation of people with better cognitive performance and good health.  
132 No statistical methodology was implemented to account for attrition/missing data. All  
133 participants were members of the Group Health Cooperative health maintenance organization of  
134 Puget Sound in Washington State at the time they entered into the study. At each interval, all  
135 persons who had previously participated were asked to participate in subsequent waves. Potential  
136 participant were randomly selected using a sampling-with-replacement methodology within the  
137 420,000 member organization. The research interviews took place in an in-person group setting  
138 for cognitive assessments (using a classroom testing approach), with a mail-in homework  
139 component for supplement surveys. A more detailed description of the SLS is available in a  
140 previous publication (Schaie, 2005).

#### 141 **Measurement Variables**

142 Participants assessed in 1998 (Time 1) and 2005 (Time 2) were given an extensive assessment  
143 test battery from which alcohol consumption, cognitive ability, and demographic measurements  
144 were extracted.

145 *Alcohol Consumption:* Alcohol consumption was calculated from the sum of three open-ended  
146 questions assessed in the SLS Health Behavior Questionnaire: 1) How many GLASSES OF  
147 WINE did you drink last week?; 2) How many BOTTLES OR CANS OF BEER did you drink  
148 last week?; and 3) How many drinks containing HARD LIQUOR did you drink last week?

149 Having three individual alcohol questions can reduce the common practice of underreporting  
150 alcohol consumption (Ekholm, Strandberg-Larsen, & Grønbaek, 2011). Furthermore, a one-week  
151 recall period is a valid and reliable time frame for alcohol recall (Dawson, 2003). Accordingly,  
152 the number of total drinks was categorized into drinking status categories: 1) Alcohol abstainer:  
153 no alcohol consumed, 2) Moderate alcohol consumer: no more than 7 drinks/week, and 3) At-  
154 risk alcohol consumers: more than 7 drinks/week (Blow, 2004; National Institute on Aging,  
155 2012; National Institute on Alcohol Abuse and Alcoholism, 1992). Alcohol consumption  
156 classifications were equivalent across gender and age.

157 *Cognitive Ability*: Twenty-nine cognitive ability scores were transformed into six standardized  
158 cognitive domain scores; mean score = 50, standard deviation = 10. The cognitive domains were  
159 based on Thurstone Primary Mental Abilities (Thurstone, 1962; Thurstone & Thurstone, 1949).  
160 The cognitive battery was then expanded and transformed using structural equational modeling  
161 to represent latent variables for memory, reasoning, spatial, verbal, numeric, and perceptual  
162 speed abilities using well-validated instruments (Schaie, 2005). Transitioning from an observed  
163 to a latent variable was done to create more stabilized cognitive constructs. Details on the  
164 structural analysis and individual instruments are available in Schaie, 2005. Briefly described,  
165 the targeted cognitive domains are:

166 *Memory Ability* - memorization and recall of meaningful language units. (Measured by:  
167 Immediate Recall (Zelinski, Gilewski, & Schaie, 1993), Delayed Recall (Zelinski, Gilewski, &  
168 Schaie, 1993), and Primary Mental Abilities (PMA) Word Fluency (Thurstone & Thurstone,  
169 1949))

170 *Reasoning Ability* - recognize and understand novel concepts or relationships; solve logical  
171 problems, and foresee and plan. (Measured by: PMA Reasoning (Thurstone & Thurstone, 1949),



172 Adult Development and Enrichment Project (ADEPT) Letter Series (Blieszner, Willis, & Baltes,  
173 1981), Word Series (Schaie, 1985), and Number Series (Thurstone, 1962))  
174 *Spatial Ability* - visualize and mentally manipulate spatial configurations in two or three  
175 dimensions, maintain orientation with respect to spatial objects, and perceive relationships  
176 among objects in space. (Measured by: PMA Space (Thurstone & Thurstone, 1949), Object  
177 Rotation (Quayhagen, 1979; Schaie, 1985), Alphanumeric Rotation (Willis & Schaie, 1983), and  
178 Cube Comparison (Ekstrom et al., 1976))  
179 *Verbal ability* - understand ideas expressed in words. (Measured by: PMA Verbal Meaning  
180 (Thurstone & Thurstone, 1949), Educational Testing Service (ETS) Vocabulary V-2 (Ekstrom et  
181 al., 1976), and ETS Vocabulary V-4 (Ekstrom et al., 1976))  
182 *Numeric ability* - understand numerical relationships, work with figures, and solve simple  
183 quantitative problems rapidly and accurately. (Measured by: PMA Number (Thurstone &  
184 Thurstone, 1949), Addition (Ekstrom et al., 1976), and Subtraction & Multiplication (Ekstrom et  
185 al., 1976))  
186 *Perceptual speed* - find figures, make comparisons, and carry out simple tasks involving visual  
187 perception with speed and accuracy. (Measured by: Identical Pictures (Ekstrom et al., 1976),  
188 Findings As (Ekstrom et al., 1976), and Number Comparison (Ekstrom et al., 1976))  
189 *Demographics*: Age, gender, education, and income were obtained from the 1998 self-report  
190 SLS: Life Complexity Inventory Questionnaire, and smoking status from the SLS: Health  
191 Behavior Questionnaire.

## 192 **Data analysis**

193 Twelve linear mixed models were analyzed in SAS 9.1 (Time x Drinking Status x Age and Time  
194 x Drinking Status x Gender) for each cognitive domain: memory, reasoning, spatial, verbal,

195 numeric, and speed). The models included Time (2 levels: 1998, 2005), Drinking Status (3  
196 levels: abstinent, moderate, at-risk), and Age-group (3 levels: middle-aged, young-old, old-old)  
197 or Gender (2 levels: male, female) as independent variables. Age was treated as a categorical  
198 variable to make distinctions and detect patterns across age group instead of creating  
199 implications for 50 age categories (Nagin, 1999), as indicated by the age range of study  
200 participants. Existing literature has determined education, income and smoking have significant  
201 effects on drinking status (Dufouil et al, 1997; Gavalier et al., 1992; Hellwig, 2011; Kawas et al.,  
202 1997; Onland-Moret et al., 2005; Schmidt et al., 1996; Wise et al., 2001). Therefore, analyses  
203 controlled for these factors in addition to gender and age group and baseline drinking levels  
204 (beer, wine, and liquor) to account for within drinking category variation in alcohol consumed.  
205 To assess the gender effect for drinking status, linear mixed models were conducted with time,  
206 drinking status, and gender serving as independent variables (while still controlling for age-  
207 group, education, income, and drinking levels), and smoking status. In all analyses, the cognitive  
208 domains served as the dependent variables.

## 209 **RESULTS**

### 210 Sample characteristics:

211 The 1998 sample (N=839) consisted of 371 males (44%), with a mean age of 67.44 years  
212 (range 45-94). Forty-two percent were middle-aged adults (46-64 years of age), 25% young-old  
213 adults (65-74 years of age), and 33% old-old adults (75+ years of age). Between 1998 and 2005,  
214 68% (N=571) of the 1998 sample returned for the 2005 follow-up. Sample differences indicated  
215 that the returning sample was less likely to never drink (41% vs. 53%;  $p=.0055$ ) and reported  
216 higher consumption of wine (1.1 vs. 1.8 glasses of wine/week;  $p=.0023$ ) and proportion of wine  
217 consumed, compared to total alcohol consumption (0.21 vs. 0.31;  $p=.0008$ ) in 1998. The

218 returning sample was also younger (73.8 vs. 64.7 years of age;  $p=.0001$ ), with more education  
219 (15 vs. 16 years;  $p=.0001$ ) and income (\$39,199 vs. \$48,749;  $p=.0001$ ), and higher cognitive  
220 abilities across all domains ( $p<.0001$ ).

221 Table 1 depicts 1998 demographic characteristics across drinking status for the study  
222 sample. There were significant differences ( $p < .05$ ) for all variables across drinking status, with  
223 the exception of smoking status. Abstainers were older and reported less income and education,  
224 and there were fewer female at-risk alcohol consumers. As expected, amount of alcohol  
225 consumed was significantly greater for the at-risk group across drinking variables. For the  
226 moderate drinking group ( $N=252$ ), the median level of drinking was 3 drinks, the mode was 1  
227 drink, with 25% quartile reporting 1 drink and 75% quartile reporting 5 drinks. For the at-risk  
228 drinking group ( $N=82$ ), the median level of drinking was 12.5 drinks, the mode was 8 drinks,  
229 with 25% quartile reporting 9 drinks and 75% quartile reporting 17 drinks. Cognition levels were  
230 significantly ( $p \leq .05$ ) different across drinking categories, with the exception of Time 2 memory  
231 ability, with abstainers generally reporting lower cognitive performance (Table 2).

### 232 Cognitive Effects:

233 The linear mixed models (Table 3) indicated that there is a significant Time x Drinking  
234 status effect for verbal ability ( $F_{(2, \text{within groups df})} = 3.10$ ;  $p = .0459$ ), Time x Age group x Drinking  
235 status effect for spatial ability ( $F_{(4, \text{within groups df})} = 2.92$ ;  $p = .0208$ ), and Time x Gender x Drinking  
236 status effect on perceptual speed ( $F_{(2, \text{within groups df})} = 4.84$ ;  $p = .0083$ ). No other significant effects  
237 were identified.

238 Time x Drinking status interaction indicated the greatest degree of decline in verbal  
239 ability was seen among alcohol abstainers (Differences of Least Squares Means estimate (est.) =  
240 1.5421;  $SE = 0.2299$ ;  $df = 539$ ;  $p < .0001$ ), followed by moderate alcohol consumers ((est.=

241 1.1830; SE = 0.2407; df= 539;  $p < .0001$ ) see Figure 1). At-risk drinkers showed relative stability  
242 in verbal ability (est. = 0.3350; SE = 0.4306; df= 539;  $p = .4370$ ). Ad-hoc analyses identified  
243 significant differences in change over time between alcohol abstainers and at-risk drinkers ( $F_{(1,$   
244  $\text{within groups df})} = 6.16$ ;  $p = .0136$ ), with abstainers showing more decline.

245 Time x Age group x Drinking status effects on spatial ability (see Figure 2) indicated  
246 relative stability in spatial ability in middle-aged adults, across drinking status (abstainers: est. =  
247  $-0.6239$ ; SE = 0.4847; df= 539;  $p = .1986$ ; moderate drinkers: est. = 0.5144; SE = 0.4258; df=  
248 539;  $p = .2275$ ; at-risk drinkers: est. = 0.9236; SE = 0.7402; df= 539;  $p = .2126$ ). There was  
249 spatial ability decline in young-old adults across drinking status (abstainers: est. = 3.4527; SE =  
250 0.6174; df= 539;  $p < .0001$ ; moderate drinkers: est. = 1.7330; SE = 0.6628; df= 539;  $p = .0092$ ;  
251 at-risk drinkers: est. = 3.4151; SE = 1.1651; df= 539;  $p = .0035$ ). In old-old adults, there was  
252 decline among abstainers (est. = 3.4972; SE = 0.6446; df= 539;  $p < .0001$ ) and moderate drinkers  
253 (est. = 4.5655; SE = 0.7157; df= 539;  $p < .0001$ ), but no detectable change among at-risk drinkers  
254 for spatial ability (est. = 1.6224; SE = 1.3064; df= 539;  $p = .2148$ ). Ad-hoc analyses identified  
255 significant differences in change over time between young-old alcohol abstainers and moderate  
256 drinkers ( $F_{(1, \text{within groups df})} = 3.86$ ;  $p = .0517$ ), with abstainers showing more decline in spatial  
257 ability. In addition, ad-hoc analyses identified significant differences in change over time  
258 between old-old moderate and at-risk drinkers ( $F_{(1, \text{within groups df})} = 4.46$ ;  $p = .0390$ ), with  
259 moderate drinkers showing more decline in spatial ability.

260 Time x Gender x Drinking status effect on perceptual speed (Figure 3) indicated  
261 perceptual speed remained stable for women with at-risk drinking status, but declined for the  
262 other drinking categories (abstainers: est. =  $-3.2427$ ; SE = 0.3744; df= 542;  $p < .0001$ ; moderate  
263 drinkers: est. = 2.1230; SE = 0.3871; df=542;  $p < .0001$ ; at-risk drinkers: est. = 0.5580; SE =

264 0.9150;  $df= 542$ ;  $p = .5422$ ). On the other hand, men's cognitive ability declined across drinking  
265 status (abstainers: est. = 1.9079; SE = 0.4887;  $df= 542$ ;  $p = .0001$ ; moderate drinkers: est. =  
266 2.5570; SE = 0.4409;  $df= 542$ ;  $p < .0001$ ; at-risk drinkers: est. = 2.8811; SE = 0.6168;  $df= 542$ ;  $p$   
267  $< .0001$ ). Ad-hoc analyses identified significant differences in change over time between female  
268 alcohol abstainers showing more decline in perceptual speed compared with both moderate  
269 drinkers ( $F_{(1, \text{within groups } df)} = 4.36$ ;  $p = .0378$ ) and at-risk drinkers ( $F_{(1, \text{within groups } df)} = 9.06$ ;  $p =$   
270  $.0030$ ).

## 271 **DISCUSSION**

272 In the current study alcohol consumption was linearly associated with decline in verbal  
273 ability over time such that abstainers showed the greatest decline, moderate drinkers showed less  
274 decline, and at-risk drinkers showed relative stability. A similar pattern for alcohol consumption  
275 was found for spatial ability, with middle-aged adults remaining stable, regardless of drinking  
276 status, while young-old adults' spatial ability declined across drinking status, and old-old adults  
277 spatial ability was relatively stable among at-risk drinkers. In addition, while previous studies  
278 have reported a greater protective effect of alcohol consumption on cognition among women in  
279 cross-sectional (Dufouil et al., 1997; Elias et al., 1999; Forette et al., 1998; Onland-Moret et al.,  
280 2005) and longitudinal studies (McGuire et al., 2007; Stott et al, 2008), in the current study there  
281 was only evidence that alcohol consumption was connected with less decline in perceptual speed  
282 among at-risk drinking women, an effect not previously seen in the literature. Surprisingly,  
283 across models there was no significant alcohol effect for memory, reasoning, and number  
284 abilities.

285 Based on the results from the current study, the consumption of over 7 alcohol  
286 drinks/week is positively related to verbal and spatial ability performance in older adults. Also

287 alcohol consumption appears to be positively related to perceptual speed in women. Findings  
288 indicate a stronger relationship between alcohol consumption and cognition exists in older  
289 adults, compared to their younger counterparts. These findings cannot be extended to memory,  
290 reasoning, and number abilities. The mix in effects on various cognitive domains may be due to  
291 the structural and functional heterogeneity (Tisserand et al., 2002) of the frontal lobe. Verbal  
292 ability (Frey et al., 2008), spatial ability (Ganis et al., 2004), perception (Roca et al., 2009),  
293 reasoning (Greene and Haidt, 2002), and numeric ability (Pesenti, Thioux, Seron & De Volder,  
294 2000) are each performed in highly localized regions of the frontal lobe (Duncan & Owen,  
295 2000). This localization may explain why certain functions are affected by alcohol while others  
296 remain unaffected (Moselhy et al., 2001). The current study also did not find evidence that  
297 alcohol consumption is related to memory. This finding may be due to the Time 2 cohort being  
298 significantly younger than the Time 1 cohort (73.8 vs 64.7 years of age;  $p=0.0001$ ), considering  
299 that significant changes in memory do not typically manifest until 70 years of age or older  
300 (Aartsen et al., 2002).

301         These results must be interpreted with some of the caveats that accompany studies  
302 examining alcohol consumption effects on cognitive functioning over time among older adults.  
303 For example, preserved spatial ability for at-risk drinkers, compared to moderate drinkers or  
304 abstainers in old-old adults seen in the current study may indicate a survivor effect. Individuals  
305 with poorer spatial ability who engaged in at-risk drinking behaviors may have dropped out of  
306 the study due to complications associated with their greater alcohol consumption (De Labry et  
307 al., 1992). Such differential dropout would leave only the healthiest (both physically and  
308 cognitively) old-old at-risk drinkers, because the drinkers with poor cognitive trajectories may be  
309 deceased or too disabled to participate. Another limitation to this study that needs to be

310 considered is that only two data collection points (1998 and 2005) assessed alcohol consumption  
311 patterns and cognition simultaneously. It is difficult to make definitive conclusions based on the  
312 analysis of two time points (Singer & Willett, 2003). Also any relationship between alcohol and  
313 cognitive benefits is curvilinear, with cognition worse among chronic alcoholics over time  
314 (Cairney, et al., 2007; Chan et al., 2010).

315         Along with the challenge of differentiating a survivor effect from a true consequence of  
316 alcohol consumption, other explanations should be considered. One such explanation for the  
317 alcohol-cognition effect can be a positive neuro-physiological response on cognition due to  
318 alcohol consumption reducing vascular risk factors (Albert et al, 2003;Imhof et al., 2004; Kiechl  
319 et al., 1998; McGeer et al, 1999; Panza et al., 2008;Sano et al., 1993; Yi et al., 2009). Another  
320 explanation to consider for the alcohol-cognition effect is the positive correlation between  
321 alcohol consumption and social activity (Menon et al., 2010). Older adults with higher cognitive  
322 function are likely to remain engaged in social activities (Murphy et al., 2007) which may  
323 involve alcohol consumption, leading such individuals to drink more than those with declining  
324 cognition that withdraw from social activities (James et al., 2011). Evidence has indicated that  
325 social drinkers (i.e., persons that consume alcohol while in the presence of others; Spijkerman et  
326 al., 2010) are at a decreased risk for cognitive decline (Leroi et al., 2002) and many types of  
327 dementias (for a review, see Neafsey & Collins, 2011). Alternatively, the positive cognitive  
328 effect could be an artifact of older adults with better cognition simply having a tendency to  
329 consume more alcohol than older adults with poorer cognition (Cooper et al., 2009). These  
330 plausible explanations require future controlled studies in both laboratory and community  
331 settings to determine the exact mechanism for the alcohol-cognition relationship.

332           Despite the contribution this study makes to the science of alcohol on cognitive function,  
333 it is limited by several factors. The majority of participants were White and had high levels of  
334 income and education, representing the upper 75<sup>th</sup> tier of income in the US (Schaie, 2005).  
335 Accordingly, it is unclear how the current study's findings would translate to sample populations  
336 with lower income, less education, and/or different races. Additionally, because the average  
337 annual income of participants was well above the poverty line (Federal Register, 1998), it is  
338 likely that results were attenuated by the shielding effect of affluence on mental and physical  
339 health (Kitagawa & Hauser, 1973). Future research should assess the interplay among aging,  
340 alcohol drinking status, and cognition among populations with greater educational, economic,  
341 and racial diversity.

342           Finally it is important to consider the reliability of the self-reporting of alcohol. While  
343 most research points to the fact the self-reported alcohol consumption is a reliable method for  
344 detecting drinking patterns (Chu, et al., 2010; Dawson, 2003; Ekholm, et al., 2011), research also  
345 indicates that past-week alcohol recall as measured in this study is not as reliable for sporadic  
346 drinkers (Gmel & Daepfen, 2007). For example, asking about drinks a week does not  
347 differentiate between drinkers that consume 1 drink a day compared to individuals that consume  
348 the same quantity over 1-2 days or even those that did not have a drink during the last week  
349 because they drink certain weeks of a month (e.g. pay weeks) or the year (e.g. weddings). Future  
350 studies should incorporate methodologies for tracking sporadic, irregular, or binge alcohol  
351 consumers to elucidate the alcohol and cognition relationship and associated health outcomes. It  
352 is also important to consider the limitations of the snapshot of alcohol measurement approach  
353 utilized in the current study does not account for change in lifetime drinking patterns caused by  
354 life events such as health incidents and medications (Molander, Yonker, & Krahn, 2010).



355 Research indicates that for the most part drinking patterns remain consistent, with heavy drinkers  
356 more likely to decline their drinking levels, with abstinent and moderate drinkers remaining  
357 abstinent and moderate respectively throughout their lifespan (Molander, Yonker, & Krahn,  
358 2010). In the current study, lifetime drinking patterns and health/medication was not considered.  
359 Accordingly future studies should incorporate the measurement of lifetime drinking patterns,  
360 including accounting for changes in health status and medications to achieve a more accurate  
361 understanding of individual drinking level status.

362 Furthermore, the at-risk criteria (consuming more than 7 drinks/week) used in this study  
363 is quite conservative and can classify a range of individuals (Moore, et al., 2011). For example,  
364 the at-risk criteria in this study places individuals that report drinking 8 drinks in the last week  
365 and those that report consuming 33 drinks in the last week in the same category. Typically  
366 someone that consumes 8 drinks a week compared to someone that consumes 33 drinks can be  
367 quite different, but not so much difference between someone that reports consuming 7 drinks  
368 compared to 8 drinks in the last week. However in the current study, drinking levels were  
369 significantly different ( $p < .05$ ) across groups with mean drinking levels for moderate drinkers  
370 being 3 drinks in the last week (s.d. = 2; range 1-7) and for at-risk drinkers being 14 drinks in the  
371 last week (s.d. = 6; range 8-33). Further, analysis in this study controlled for within drinking  
372 category ranges, by accounting for number of drinks. Future studies should examine variations in  
373 at-risk drinking levels on cognitive outcomes; however a sufficient sample size of at-risk  
374 drinkers will need to be recruited to examine the relationship.

375 Even with acknowledging the inherent challenges, this study contributes significantly to a  
376 growing body of research examining alcohol and cognition. In this study, there was evidence of  
377 cognitive stability in certain domains, among older adults that consumed alcohol. This study is

378 one of very few longitudinal studies assessing the effects of alcohol consumption in several  
379 cognitive ability domains across age groups and gender. Longitudinal studies such as this study  
380 can enlighten findings that may be missed or wrongly identified in cross-sectional research. For  
381 instance, cross-sectional studies can only provide evidence for group differences, whereas  
382 longitudinal work provides insights about actual change, and the factors that can affect change  
383 across groups. The strength of the current study rests in the large sample of community-dwelling,  
384 middle aged and older adults who have been followed over time to assess changes in behavioral  
385 patterns and cognitive performance. Given the expenses and complications associated with  
386 longitudinal research (Ruspini, 2002; Schaie, 2005), such well-characterized samples are limited.  
387 Also, breaking down and investigating cognition by domain was extremely important. In this  
388 study interestingly there was evidence that alcohol consumption is related to verbal, spatial, and  
389 perceptual speed, but not memory, reasoning, and number abilities.

390         Given the inconsistent nature of the evidence for a protective effect of alcohol  
391 consumption on older adults' cognition, firm recommendations for alcohol consumption among  
392 older adults cannot be determined with the current evidence. Recommendation cannot be made  
393 due to the inherent difficulty in detecting a causal relationship, due to bias created from survivor  
394 effects, analyzing two time points, not considering health/medication status, and  
395 overrepresentation of higher socioeconomic status and white populations in this study. Based on  
396 the results in the current study, having more than 7 alcoholic drinks a week may be connected to  
397 decreasing cognitive decline in old-old adults. However, further longitudinal and experimental  
398 investigation is required to support this finding prior to making public health recommendations.

399

400 **Total Word Count:** 4700

401

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403

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659 **Table 1. Baseline (1998) Sample Demographics (N=571)**

	<b>Drinking Status</b>		
	<b>Abstainers</b> (n=237, 42%)	<b>Moderate</b> (n=252, 44%)	<b>At-risk</b> (n=82, 14%)
<b>Age (mean(SD) range)</b>	65.8 (11.1) Range=45-93	63.6 (11.7) Range=45-90	62.6 (11.0) Range=45-84
<b>Gender (% female)</b>	63%	57%	29%
<b>Income (median range)</b>	\$40,000-\$34,999	\$50,000-\$54,999	\$55,000-59,999
<b>Education years (mean (SD))</b>	15.0 (2.6) Range=8-20	16.2 (2.5) Range=10-20	16.1 (2.9) Range=7-20
<b>Smoking (% smokers)</b>	3%	5%	7%
<b>Mean Total Alcohol Drinks/ Week (mean (SD))</b>	0	3.3 (2.1) Range = 1-7	14.1 (6.1) Range = 8-33
<b>Mean Beer Drinks/Week (mean (SD))</b>	0	0.7 (1.2) Range = 0-7	3.5(6.1) Range = 0-30
<b>Beer Proportion/ Total Alcohol Drinks</b>	0	.24	.23
<b>Mean Wine Drinks/Week (mean (SD))</b>	0	1.8 (1.9) Range = 0-7	6.8 (5.0) Range = 0-20
<b>Wine Proportion/ Total Alcohol Drinks</b>	0	.54	.52
<b>Mean Liquor Drinks/Week (mean (SD))</b>	0	0.8 (1.5) Range = 0-7	3.8 (6.0) Range = 0-30
<b>Liquor Proportion/ Total Alcohol Drinks</b>	0	.24	.25

660 Note. Significant differences ( $p \leq .05$ ) across drinking status for all variables, with the exception  
 661 of smoking status.

662 **Table 2. Cognitive Levels Across Time (N=571)**

	<b>Drinking Status</b>					
	<b>Abstainers</b>		<b>Moderate</b>		<b>At-risk</b>	
	<b>(n=237, 42%)</b>		<b>(n=252, 44%)</b>		<b>(n=82, 14%)</b>	
	Time1	Time2	Time 1	Time 2	Time 1	Time 2
<b>Memory</b> (mean (SD))	48.6 (9.5)	46.9 (10.3)	50.8 (9.0)	48.8 (10.6)	51.1 (9.2)	49.3 (9.4)
<b>Reasoning</b> (mean (SD))	50.1 (8.0)	47.8 (8.9)	52.4 (7.9)	50.1 (9.4)	52.7 (7.1)	50.8 (8.4)
<b>Spatial</b> (mean (SD))	49.2 (8.5)	47.3 (9.6)	51.3 (8.2)	49.6 (9.3)	52.1 (8.0)	50.6 (8.3)
<b>Verbal</b> (mean (SD))	50.4 (8.4)	49.2 (8.6)	53.8 (6.5)	53.1 (7.1)	52.8 (6.6)	52.8 (6.6)
<b>Number</b> (mean (SD))	48.8 (8.8)	45.2 (9.4)	50.4 (8.3)	46.9 (9.1)	51.8 (7.9)	49.2 (8.3)
<b>Speed</b> (mean (SD))	49.3 (7.1)	46.4 (8.8)	50.8 (6.6)	48.3 (8.9)	50.9 (5.6)	48.8 (7.5)

663 *Note.* Significant differences ( $p \leq .05$ ) across drinking status for all variables, with the exception  
 664 of Time 2 memory ability.

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**Table 3. Drinking Effects on Cognitive Change (1998-2005) by Age Group (f-values)**

	Memory	Reasoning	Spatial	Verbal	Number	Speed
<b><u>Age Group Models</u></b>						
<u>Time</u>	<b>35.22***</b>	<b>210.93***</b>	<b>63.12***</b>	<b>31.61***</b>	<b>363.44***</b>	<b>187.96***</b>
<u>Time x Age Group</u>	<b>6.14*</b>	<b>35.26***</b>	<b>16.01***</b>	<b>18.56***</b>	<b>42.52***</b>	<b>32.84***</b>
<u>Time x Drinking Status</u>	1.14	1.66	0.10	<b>3.10*</b>	2.08	0.53
<u>Time x Age x Drinking</u>	2.05	0.90	<b>2.92*</b>	1.26	1.22	1.42
<u>Status</u>						
<b><u>Gender Models</u></b>						
<u>Time</u>	<b>21.75 ***</b>	<b>113.85***</b>	<b>29.38***</b>	<b>10.69**</b>	<b>207.28***</b>	<b>90.34***</b>
<u>Time x Gender</u>	0.76	0.01	1.14	0.01	0.58	1.04
<u>Time x Drinking Status</u>	0.72	0.51	0.10	2.98	1.49	0.92
<u>Time x Gender x</u>	1.51	0.74	1.64	0.89	0.92	<b>4.84**</b>
<u>Drinking Status</u>						

668 *Note.* Analyses conducted in SAS 9.1 using Proc Mixed, controlling for Income, Education,  
669 Drinking Levels for Beer, Wine, Liquor, Smoking Status, and Gender in Age Group models and  
670 Age Group in Gender models.

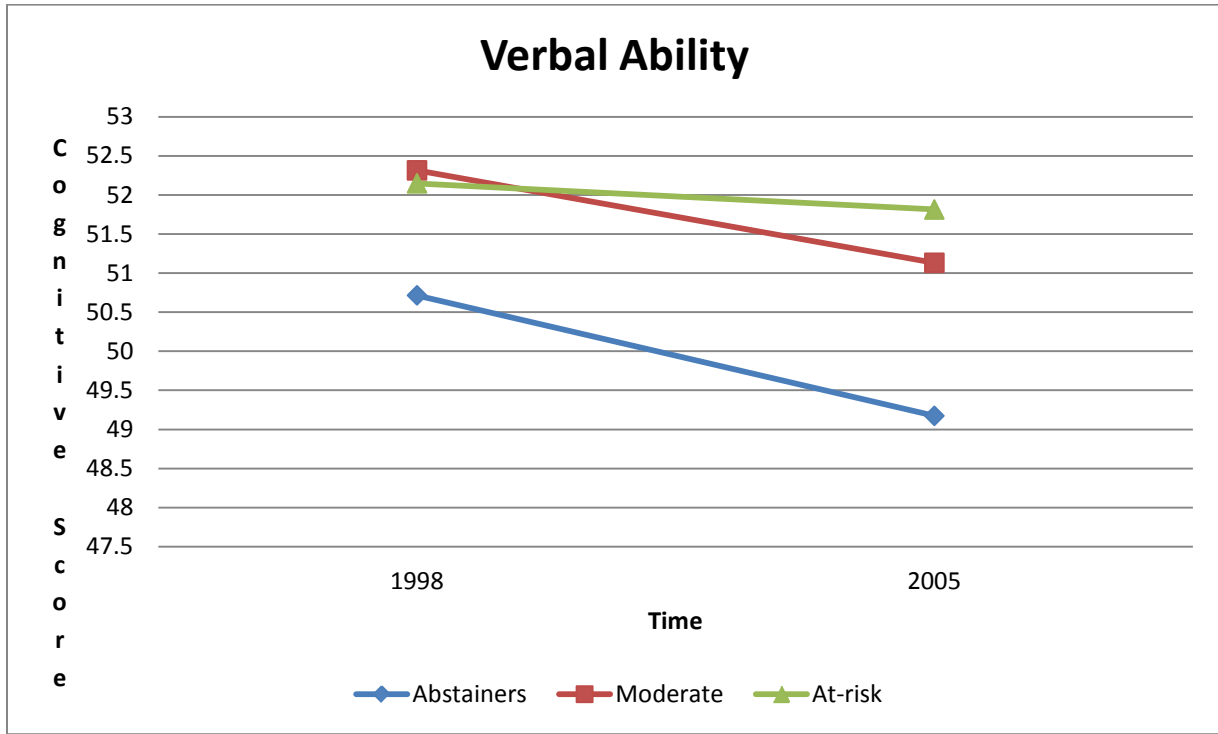
671 Time indicated two points: Time 1 = 1998 and Time 2 = 2005.

672 Bolding indicates significant ( $p < .05$ ) differences; \*= $p \leq .05$ ; \*\*= $p \leq .01$ ; \*\*\*= $p \leq .001$ .

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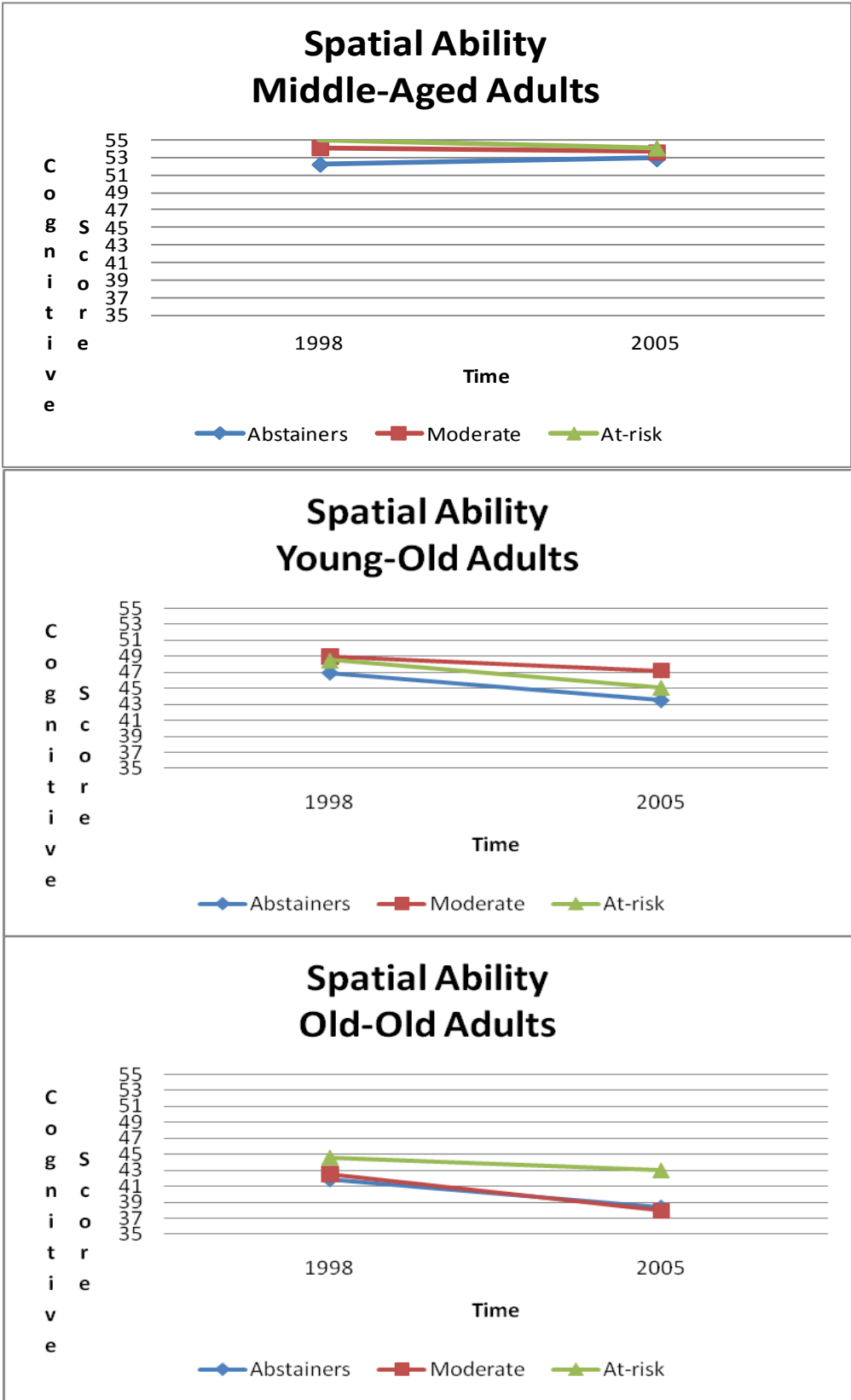
675 Figure 1. Changes in Verbal Ability



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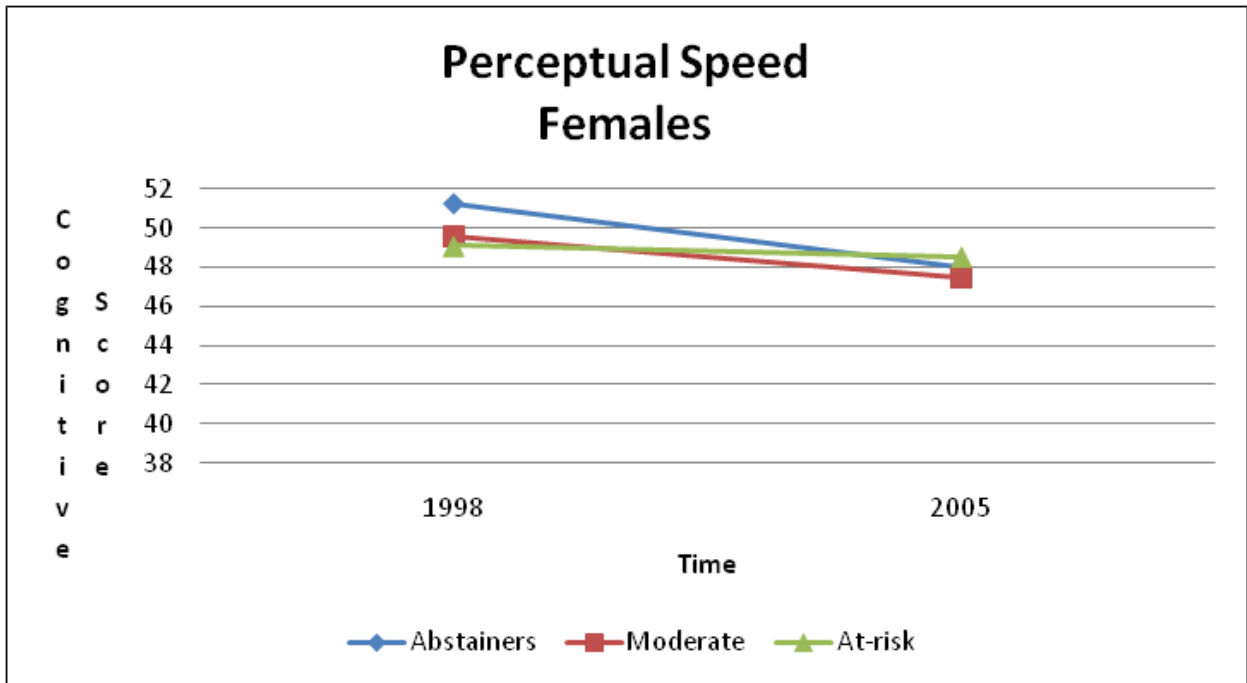
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678 Figure 2. Changes in Spatial Ability

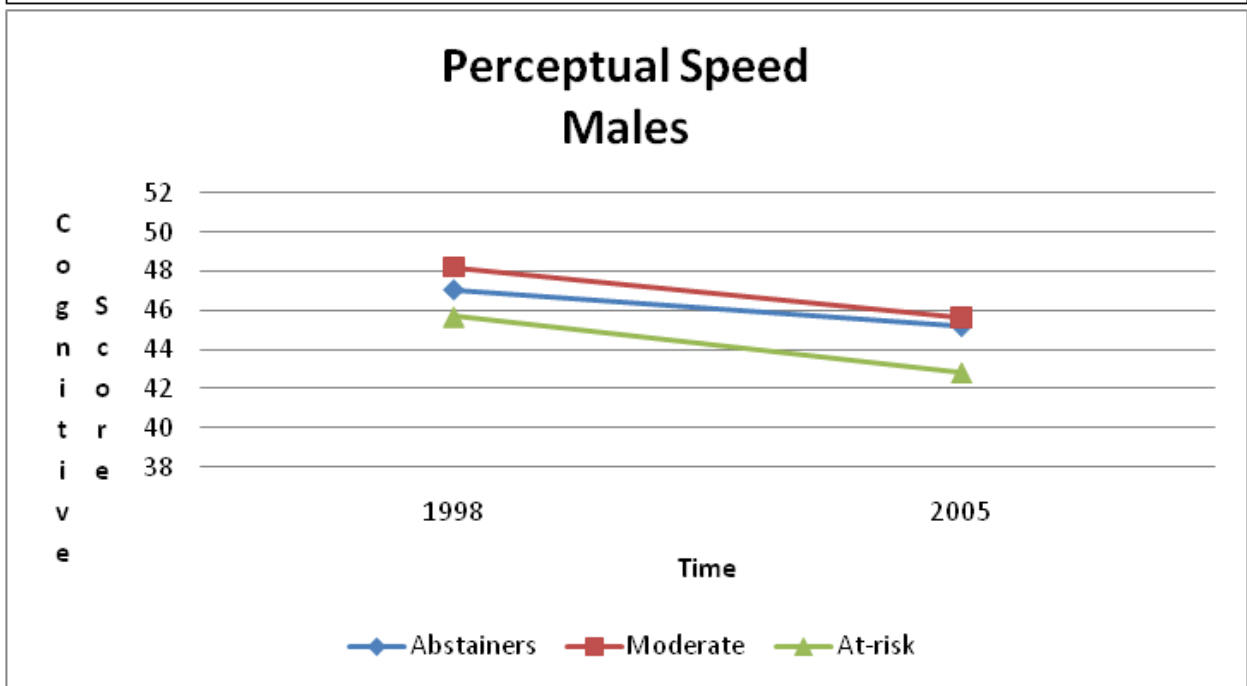


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680 Figure 3. Changes in Perceptual Speed  
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